PTO/SB/21 (09-04)
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			Art Unit	·	2661		
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Firm		OKAMOTO & BE	ENEDICTO LLP				
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Printed Name Patrick D. Ben		Patrick D. Bened					
Date July 11, 2006				Reg. No.	40,909		
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I hereby certify that this con Service with sufficient post Alexandria, VA 22313-1450	tage as firs	t class mail in	imile transmitted to the an envelope addres	ne USPTO ssed to: C	or deposited commissioner	with the United States Post for Patents, P.O. Box 14	stal 50,
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## **THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Yi-Fen Chen, et al.

Serial No.: 10/829,466

Filed: April 21, 2004

Title: Method and Apparatus for

Controlling Traffic in a Computer Network

Examiner: not yet known

Art Unit: 2661

Atty. Dkt. No.: 10033.001000

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

37 CFR §1.97(b).
37 CFR §1.97(c). If so, then either:  Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  each item of information contained in this Information  Disclosure Statement was cited in a communication from a  foreign patent office in a counterpart foreign application not  more than three months prior to the filing of this Statement; OR  no item of information contained in this Information Disclosure  Statement was cited in a communication from a foreign patent  office in a counterpart foreign application or, to the knowledge  of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR  § 1.56 (c) more than three months prior to the filing of this  Statement.
OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  each item of information contained in this Information  Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
AND  Applicant submits the petition fee of \$180 as set forth in 37 CFR §17(p).
N. C.

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted, Yi-Fen Chen, et al.

Dated: July 11, 2006

By:

Paria 1200

Patrick D. Benedicto, Reg No. 40,909 Attorney For Applicant(s) OKAMOTO & BENEDICTO LLP P.O. Box 641330 San Jose, CA 95164-1330

Tel.: (408) 436-2110 Fax: (408) 436-2114

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Typed or Printed Name:	Patrick D. Benedi	to	Dated:	7/11/2006
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PTO/SB/08a (08-03)

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Substitutation 1249A/PTO				Complete if Known			
				Application Number	10/829,466		
INFORMATION DISCLOSURE				Filing Date	April 21, 2004		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Yi-Fen Chen		
				Art Unit	2661		
	(Use as many sh	eets as	necessary)	Examiner Name	not yet known		
Sheet	1	of	2	Attorney Docket Number	10033.001000		

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	U.S. PATENT DOCUMENTS							
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant			
Initials * No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant  Figures Appear				
	E	US- 2002/0178381 A1	Nov. 28, 2002	Lee, et al.				
	F	US- 2003/0055962 A2	Mar. 20, 2003	Freund, et al.				
	G	US- 2003/0021280 A1	Jan. 30, 2003	Makinson, et al.				
	Н	US- 2002/0147780 A1	Oct. 10, 2002	Liu, et al.				
	ı	US- 6,678,734	Jan. 13, 2004	Haatainen, et al.				
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	FOREIGN PATENT DOCUMENTS								
Examiner   Cite   No.1	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	T <sup>6</sup>				
	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear					
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Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nich of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute	e for form 1449	В/РТО			Complete if Known
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	April 21, 2004
SIA	IFMFN	IBYA	PPLICANI	First Named Inventor	Yi-Fen Chen
				Art Unit	2661
	(Use as mai	ny sheets as	necessary)	Examiner Name	not yet known
Sheet	2	of	2	Attorney Docket Number	10033.001000

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>				
_	J	International Search Report for International Application No. PCT/US05/05279 (2 sheets).					
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.